Chartered Accountants Ireland

Diploma in Risk Management, Internal Audit and Compliance

Future-Proofing Your Organisation

Trusted Business Leadership

Programme Overview

Upgrade your approach to risk management, assurance and compliance: this programme will help you put risk at the centre of your organisation's decision-making, allowing you and your organisation to respond, adapt and add value in dynamic times.

Format	Duration	CPD Hours	Cost	Start Date
Blended	6 Months	60+	From €3,500/£3,085	7 October

After taking this programme you will develop key risk, assurance and compliance skills, including:

- Applying the right 'risk versus reward' challenges by applying technical skills to drive business strategy and performance
- Partnering with your colleagues through effective engagement, persuasive influence, impactful communications, and flexible problem solving on risk/assurance/compliance matters
- Raising your ability to influence and drive change on matters that mean the most to you

Who is this programme for?

Participants typically work in roles where judgement, challenge and influence matter — connecting risk, assurance and compliance to strategy, performance and decision making.

The Diploma is particularly suited to professionals working in, or closely aligned to:

- Risk management
- Internal audit
- Compliance and regulatory roles
- Governance, assurance or control functions

You do not need to be in a formally titled risk or audit role — relevance and experience matter more than job title.

Programme Overview

This Diploma is designed for experienced finance, risk and assurance professionals who want to deepen their technical judgement while strengthening their ability to influence executives, audit committees and boards.

It integrates applied risk, internal audit and compliance expertise with leadership and communication capabilities — enabling you to add measurable value in complex, fast moving environments.

This Diploma covers many of the latest concepts in risk, such as:

- Analysing and reporting emerging risk concerns
- Delivering valuable assurance results
- Ensuring compliant corporate governance
- Aligning business strategies with risk measures to ensure goals are achieved in a safe and controlled manner.

"Lecturers were extremely engaging. Course notes were the best I've ever seen." - Siobhan Deans

Why choose this Diploma?

For your career

→ Learn directly from senior, industry-leading practitioners with deep experience across Irish and international risk, audit and regulatory environments.

→ Build confidence influencing senior stakeholders, audit committees and boards, supported by practical tools and structured feedback.

→ Earn an industry-recognised Diploma from Chartered Accountants Ireland, demonstrating advanced capability in risk, internal audit and compliance.

For your organisation

→ More effective, risk-informed decision-making, grounded in pragmatic frameworks used by leading organisations.

→ Stronger governance, assurance and compliance outcomes, aligned with the standards expected of Chartered Accountants.

→ Confidence that risk, internal audit and compliance functions are operating proactively — led by professionals who bring insight, perspective and sound judgement, not just oversight.

Programme Structure

Module 1: Risk Management – Designing a Robust Risk Function

Focuses on designing and operating a risk management function that is practical, credible and decision relevant. Participants develop the capability to identify, prioritise and escalate risk in a way that supports strategy and performance.

Module 2: Risk Management within the Three Lines of Defence

Explores how risk operates within the 'Three Lines of Defence' model, and how professionals navigate roles, responsibilities and reporting lines in complex organisations.

Module 3: Internal Audit (IA) and Influencing Skills – Building an Effective IA Function in the workplace

Develops the technical and leadership foundations required to build and operate an effective internal audit function that delivers meaningful assurance and insight.

Module 4: Internal Audit and Persuasive Communication Skills – Adding Value as a Trusted Advisor

Focuses on how internal audit adds value beyond assurance, positioning itself as a trusted advisor while maintaining independence and credibility.

Module 5: Regulatory Compliance – Managing Regulatory Risk in Practice

Examines the role of regulation and the compliance function in today's regulatory environment, with a focus on judgement, relationship management and regulatory risk.

Module 6: Governance and Culture

Focuses on how governance, culture and ethics shape risk outcomes across organisations, with particular emphasis on board oversight and accountability.

Programme Details

Tutors

Trudy Bartley

Trudy Bartley is a multi disciplinary risk specialist with almost 20 years working in the financial sector. She specialises in Enterprise Risk, Operational Risk; Business Continuity; Fraud; Third Party Risk; and Crisis and Incident Management. Trudy holds a Degree in Economics and Social Science and Masters in Business and Entrepreneurship

Clive Kelly

Clive is an experienced financial services executive and board leader, having held senior roles including CEO, Chief Risk Officer and Chief Compliance Officer with global insurers and reinsurers. A past President of the Compliance Institute, he serves on a number of international boards and risk committees. He has over 25 years' experience as a lecturer, author and examiner in compliance, risk, governance and culture.

Paul Wrafter

Paul is an experienced Accountant with over 25 years' experience in governance, risk management and financial oversight. He currently leads the Finance Division at the Central Bank, having previously headed its Internal Audit Division. A qualified Chartered Accountant and Certified Fraud Examiner, he brings deep expertise in financial governance and leadership.

Allan Kearns

Allan is a risk management and financial supervision expert with over 20 years' experience. He leads Central Bank teams in operational resilience, technology and third-party risk, supporting DORA implementation in Ireland. Allan has held several non-executive board roles, including Board Chair and Audit & Risk Committee Chair.

Fiona Buckley

Fiona Buckley is a Work Behaviourist, Leadership Facilitator, Executive coach and keynote speaker. She has been on the faculty with Chartered Accountants Ireland for 16+ years.

Programme Details

How you study

Study is guided through a mix of self-paced learning and live, interactive online sessions.Assessment incorporates attendance, learning logs, case-study assignments and a final assessment including presentation.

Facilitated by an experienced and engaging tutorial team, the Diploma is delivered and assessed using a virtual learning environment. Our learning management platform, Moodle, hosts all course materials and activities e.g. pre-recorded videos, course notes and slides, links to live sessions, and timetables. The platform also allows participants to network and share questions.

Material is released on scheduled dates, including pre-recorded videos to support each module. Participants will have time to review and absorb material before the live session with the course lecturer. These live webinars are streamed and recorded using Zoom.

How you are assessed

Participants complete three learning logs and three practical home assignments. The assignments simulate typical pieces of work conducted by risk, assurance and compliance professionals, requiring participants to demonstrate their technical competence and capability to use core business skills.

Participants must achieve 50% or above in each of the home assignments to be eligible for the award of the Diploma. The overall pass mark is set at 50% with distinction achieved at 70%.

Assignment Support Sessions

After each assignment is released, we host a one-hour Assignment Support Session. During this interactive live webinars, you'll have direct access to your examiner to:

- Clarify the assignment's objectives and expectations
- Ask your questions and receive real-time answers
- Gain tailored guidance to refine your approach

These sessions are designed to boost your confidence and ensure you're fully prepared to complete the assignment, which is due approximately four weeks after its release.

Programme Details

Accreditations and designations

Chartered Accountants Ireland members will be awarded a Diploma in Risk Management, Internal Audit and Compliance from Chartered Accountants Ireland under the '1966 ICAI Charter Amendment Act'. Others will be awarded the Diploma in Risk Management, Internal Audit and Compliance by Chartered Accountants Ireland Executive Education DAC.

Can I use the course towards my CPD hours?

This programme offers structured and unstructured CPD hours contact time. Participants can claim a minimum of 60 structured CPD hours for this programme. Additionally, further hours can be claimed for completing additional course activities, such as reading, preparing for course assessments, and additional study.

Entry criteria and eligbility

This programme has been designed to meet the needs of professionals working in risk, assurance and compliance. Applications are welcomed from:

- Members of Chartered Accountants Ireland, ICAS, and other recognised professional bodies including ACCA, CIMA, ICAEW
- Members of the Compliance Institute
- Holders of an undergraduate or masters degree, equivalent qualification or equivalent experience in finance, business or other relevant field

Applicants other than members of Chartered Accountants Ireland may be asked to provide evidence of their professional body, or details demonstrating the relevance of their experience or qualifications to this programme.

Applications and fees

Member of Chartered Accountants Ireland or Compliance Institute	€3,500 | £3,085
Other Applicants	€3,850| £3,395

Participants who have completed any diploma or certificate programme with the Institute are eligible for a 10% discount. Multiple bookings by a single employer will qualify for a discount of 10% for the second and subsequent booking.

Programme Timetable

Module 1	Risk Management – Designing a Robust Risk Function	Wed 14 October, 6pm-9pm
Module 2	Risk Management within the Three Lines of Defence	Wed 28 October, 6pm-9pm
Module 2.2		Wednesday 11 November, 2pm-3pm
Assignment 1		**Assignment Release:** Fri 30 October **Support Webinar:** Fri 6 November, 5pm -5.45pm **Assignment Due:** Mon 30 November
Learning Log 1		**Released:** Wed 11 November **Due:** Mon 14 December
Module 3	Internal Audit (IA) and Influencing Skills	Wed 25 November, 6pm-9pm
Module 4	Internal Audit and Persuasive Communication Skills	Wed 3 February, 6pm-9pm
Module 4.2		Thurs 4 February 2pm-3pm
Assignment 2		**Assignment Release:** Fri 5 February **Support Webinar:** Wed 10 February 5pm-5.45pm **Assignment Due:** Mon 8 March
Learning Log 2		**Released:** Wed 3 Feb **Due:** Mon 8 March
Module 5	Regulatory Compliance	Wed 17 February, 6pm-9pm
Module 5.2		Thurs 18 February, 2pm-3pm
Module 6	Governance and Culture	Wed 3 March, 6pm-9pm
Learning Log 3		**Release:** Thurs 18 February **Due:** Mon 22 March
Assignment 3		**Assignment Release:** Fri 5 March **Support Webinar:** Part of module 6 **Assignment Due:** Mon 5 April

Application Form

Name:

Company/Firm Name:

Phone Number:

Email:

Membership Body:
- Chartered Acountants Ireland
- Other *(proof of membership will be required)*

Chartered Accountants Ireland membership number:

If you wish for your firm to be invoiced, please provide details *(contact name/address/PO number)*:

Fee Payment

- Member of Chartered Accountants Ireland: €3,500 | £3,850
- Non-member: €3,850| £3,395

I would like to pay by:

- Instalments*
- Credit Card

* The instalment payment option will allow participants to spread the cost of a diploma over four equal payments, with the first payment charged at registration, and the remaining payments being charged to a debit/credit card on agreed dates.

A member of the team will be in contact to process your payment

All data is collected in accordance with the GDPR, and all relevant Data Protection Acts and regulations. We will only use your details for the purposes to which it was collected, and we will always respect your rights and privacy in relation to your data. Special category data is processed in accordance with Article 6 & 9 of the GDPR. Further details on our approach to data protection and how to opt-out is available in our Privacy Statement at www.charteredaccountants.ie/privacy-statement

Please tick the box to indicate that you have read our terms and conditions

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